

POTENTIAL EFFECTS OF CLIMATE CHANGE ON ECOLOGICALLY RELEVANT STREAMFLOW REGIMES

Short title: Climate Change and Streamflow Regimes

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ABSTRACT

We assessed the climate-driven changes in ecologically relevant flow regimes expected to occur by the year 2100 in streams across the conterminous United States. We used long-term daily flow measurements from 601 gauged streams whose watersheds were in relatively natural condition to characterize spatial variation in 16 flow regime variables selected for their ecological importance. Principal component analysis of these 16 variables produced five uncorrelated factors that described patterns of spatial covariation in flow regimes. These five factors were associated with low flow, magnitude, flashiness, timing, and constancy characteristics of the daily flow regime. We applied hierarchical clustering to the five flow factors to classify the 601 streams into three coarses and eight more finely resolved flow regime classes. We then developed a random forest model that used watershed and climate attributes to predict the probabilities that streams belonged to each of the eight finely resolved flow regime classes. The model had a prediction accuracy (per cent correct classification) of 75%. We used the random forest model with downscaled climate (precipitation and temperature) projections to predict site-specific changes in flow regime classes expected by 2100. Thirty-three per cent of the 601 sites were predicted to change to a different flow regime class by 2100. Snow-fed streams in the western USA were predicted to be less likely to change regimes, whereas both small, perennial, rain-fed streams and intermittent streams in the central and eastern USA were predicted to be most likely to change regime.

KEY WORDS: climate change, flow regime, classification, model, random forest

INTRODUCTION

Streamflow regimes (i.e. temporal patterns of streamflow) result from the interaction of climate and watershed features, and will therefore likely be sensitive to climate change. Weather (precipitation and temperature) interacts with geology, topography, soil, and vegetation to influence infiltration, evaporation, and runoff generation, which together determine streamflow (Dingman, 2002; Brutsaert, 2005). Climate change is generally expected to affect one or more of these processes (Tucker and Slingerland, 1997; Bachelet *et al.*, 2001; Held and Soden, 2006; Zhou *et al.*, 2014) and hence streamflow regimes (Nijssen *et al.*, 2001; Stewart *et al.*, 2004; Christensen *et al.*, 2004). These climate-induced hydrologic changes may then alter the ecological structure and function of freshwater ecosystems (Melack *et al.*, 1997; Hauer *et al.*, 1997; Mulholland *et al.*, 1997; Meyer *et al.*, 1999; Döll and Zhang, 2010; Arnell and Gosling, 2013; McManamay *et al.*, 2015). For example, Hauer *et al.* (1997) concluded that predicted decreases in magnitude and earlier onset of snowmelt runoff in the Rocky Mountain region would make streams and rivers unsuitable for salmonids in the region. Similarly, Mulholland *et al.* (1997) argued that predicted increases in storm intensities, coupled with larger peak flows and lower base flows, would cause loss of stream habitat as a result of intense flushing events and shorter periods of inundation in riparian areas. In addition to such qualitative predictions of changes to stream ecosystems associated with changes in flow regime, a number of studies have used quantitative hydro-ecological models to assess how predicted future climate is likely to alter ecological flow regimes. For example, based on global water model simulations, Döll and Zhang (2010) concluded that ecologically relevant flow characteristics will be more altered by climate change than they have been by withdrawals and dams. Similarly, the simulations conducted by Arnell and Gosling (2013) that coupled an ensemble of climate model projections with a global

hydrological model predicted that winter runoff will increase, and summer runoff will decrease in response to climate change in northern parts of the USA by 2050.

Although researchers generally accept that climate change will alter both hydrologic and ecosystem processes in streams, we do not yet possess a robust understanding of how the effects of climate change will differ within and across regions (Tague *et al.*, 2008; Chang and Jung, 2010; Jung *et al.*, 2012). A few researchers have examined the projected effects of climate change on streamflows at a regional scale e.g., (Stewart *et al.*, 2004; Christensen *et al.*, 2004; Maurer and Duffy, 2005; Thodsen, 2007; Reidy Liermann *et al.*, 2012), but to our knowledge, no assessment has been conducted of the potential effects of climate change on the flow regimes of streams across the full range of climatic and physiographic conditions that occur within the conterminous United States (CONUS). At such continental scales, some patterns of flow response to climate may occur that are not apparent in regional studies, which typically encompass smaller ranges of climate and hydrophysical conditions.

In this paper, we examine the potential effects of projected climate change on the natural flow regimes of streams within the CONUS. Such an assessment should help reveal where, within the CONUS, streams and rivers may be especially vulnerable to climate-induced shifts in ecologically important aspects of streamflow, and what kinds of stream are most and least vulnerable. Moreover, we expected the study to help identify the specific climatic factors and watershed attributes that influence the sensitivity of flow regimes to climate change.

METHODS

General approach

To address our objective, we had to complete three major tasks: (i) obtain or develop a classification of flow regimes that was informed by ecological theory and applicable to the entire CONUS; (ii) model how flow regime classes vary with current climate and watershed features; and (iii) link this model to downscaled climate projections to predict how flow regime classes may change in the CONUS with long-term changes in precipitation and air temperature. We first selected 16 flow variables that collectively described five aspects of the flow regime that ecologists consider to be important to stream ecosystem structure and function: magnitude, frequency, timing, duration, and rate of change (Poff *et al.*, 1997). We then used principal component analysis (PCA) to identify independent axes of variation in these 16 variables across sites. The PC factors were used in a hierarchical classification to identify different types of flow regimes. We then developed empirical models to predict the most probable type of streamflow regime at individual streams from climate and watershed attributes. These space-for-time models allowed us to predict how the flow regimes present at individual streams sites should change with projected changes in precipitation and temperature.

Several researchers have previously classified US streams in terms of their flow regimes (Sanborn and Bledsoe, 2006; Chinnayakanahalli *et al.*, 2011; McManamay *et al.*, 2012; Reidy Liermann *et al.*, 2012; Archfield *et al.*, 2013), and a few have developed classifications that apply to most of the USA (Poff and Ward, 1989; Poff, 1996; Archfield *et al.*, 2013; McManamay *et al.*, 2014). However, previous classifications largely followed Poff in standardizing flow magnitude variables by either catchment area or mean daily flows. We wanted to use a classification of flow regimes that incorporated the absolute magnitude of flow because (i) flow volume is important to stream ecosystem structure and function (Vannote *et al.*, 1980; Freeman

and Marcinek, 2006; McKay and King, 2006; Anderson *et al.*, 2006; Poff and Zimmerman, 2009; Carlisle *et al.*, 2011; Chinnayakanahalli *et al.*, 2011) and (ii) initial flow volume may influence the likelihood of climate-induced shifts between some aspects of flow regimes (e.g. perennial vs intermittent). We therefore developed a flow regime classification that was inspired by and similar to previous CONUS-wide classifications but which explicitly incorporated the absolute magnitude of flow.

Data source and inclusion criteria

We used long-term, daily flow measurements from 601 reference-condition stream sites (i.e. watersheds with minimal land use and streams with minimal flow alteration) contained in the GAGES (Falcone *et al.*, 2010) data (refer to the Selection of stations section in the succeeding texts). These data were used to estimate values for several flow metrics that represented different aspects of a stream's flow regime.

Selection of flow variables

We selected 16 flow variables for use in our analyses that, based on previous studies, well characterized ecologically important differences in flow amongst streams (Table 1, Appendix A). We recognize that classification outcomes, as well as assessments and interpretation of the effects of climate change on flow regimes, can be sensitive to the selection of the specific flow variables used in analyses (Olden *et al.*, 2012). However, the use of many (10–100 s) flow variables can also obscure the physical interpretation of analyses, and produce classifications that may not match well with research or management objectives. Our view is that useful classifications should be both informed by theory and easily interpreted. We therefore selected a relatively small set of flow variables that collectively spanned the major dimensions of

streamflow variation that ecologists view as important in maintaining stream ecosystem structure and function. We also choose not to differentially weight variables, because we have little a priori knowledge regarding the specific flow dependencies of different aquatic species and ecological processes. During variable selection, we tried to avoid excessive statistical redundancy amongst variables included in the analyses (correlations amongst variables are shown in Appendix A). We also found that the selection of specific variables to use in analyses was not always straightforward, especially when either many variables were available that describe a single, major aspect of flow, or variables had nonintuitive or problematic statistical properties. The most prominent of these issues was the selection of the specific high-flow and low-flow variables to use.

Of the 23 high-flow condition variables listed by Olden and Poff (2003), we selected two that we thought collectively characterized the important aspects of high-flow conditions: bank full flow and average seven-day maximum flow. Bank full flow is of potential ecological importance because it is related to streambed mobilization, channel morphology, and flood plain connectivity—all of which are important to stream biota. Average seven-day maximum flow quantifies aspects of high-flow magnitude as well, but also incorporates duration of sustained high flows.

Nonintuitive associations between flow constancy, the base flow index (BFI), and the fraction of zero days (ZDF) made the selection of low-flow variables complicated. Although these three variables were somewhat correlated, many streams with high constancy values were intermittent and had a large number of days with zero flow. The association between these three variables missed characterizing the steadiness (constancy) of flow in large perennial streams that often have high BFI. We therefore developed an extended low-flow index (ELFI) calculated as

BFI – ZDF, which describes a flow pattern that varies from many days of zero flow to high relative amounts of more constant base flow contributions to the stream (refer to Dhungel (2014) for details). Combining ZDF and BFI in this way also addressed a statistical problem in their normalization as both had multiple zero values. After combining BFI and ZDF into the ELFI, flow constancy loaded with flow predictability on a separate PC axis, a result that made more sense physically in that it quantified the constancy and generally higher overall predictability of flows in large perennial streams.

Selection of stations

To assess the effects of climate on natural flow patterns, we needed flow data from a set of streams that were least affected by human influence. GAGES (Falcone *et al.*, 2010) contains US Geological Survey flow records for streams draining 6785 watersheds. Falcone *et al.* (2010) identified 1512 of these watersheds as being least influenced by human activity. We wanted to compute naturally occurring streamflow metrics that were as robust as possible, so we used only the 601 of these 1512 sites that had 90% complete flow records over a 45-year period (1965 to 2010) for our analysis. These sites ranged from Strahler stream order 1 to 11, with a mean annual flow ranging from 0.03 to 245 m³/s (Figure 1). The vast majority of the 601 sites were order five or less with a mean annual flow less than 10 m³/s. The findings of this study are therefore limited to this range of stream sizes. We do not consider this truncated representation of stream sizes as a significant limitation, because the vast majority of streams in the USA fall into this group.

Flow, watershed, and climate data

We assembled flow, watershed, and climate data for the 601 sites. Daily flow records were obtained from the US Geological Survey National Water Information System, and used to compute the 16 flow regime variables. TauDEM, a set of GIS terrain analysis software tools (Tarboton, 2005), was used to delineate the watersheds for these sites. We then computed 51 watershed and climate attributes for these watersheds (Appendix B) for use in predicting flow regime class. We followed (Sanborn and Bledsoe, 2006; Chinnayakanahalli, 2010) in using monthly climate data in these analyses. Historic monthly climate data were assembled from the Parameter-elevation Regression on Independent Slope Model (PRISM) dataset (Daly *et al.*, 1994). Short-duration streamflow properties are responsive to short-term weather processes, but the limited availability of these data precluded their use in our analyses.

Identifying independent dimensions of flow regimes with principal component analysis

We used PCA to identify the main independent dimensions of flow variation amongst sites. We applied PCA with varimax rotation to the matrix of pairwise correlations between different flow variables following procedures detailed by Jackson (1991). In ideal applications of PCA, all associations between variables are linear, and variables are normally distributed (McCune *et al.*, 2002). However, Q–Q plots and box plots showed that the distributions of most of the variables were non-normal, so we normalized those that required transformation with Box–Cox transformations. The parameter ‘lamda’ for the Box–Cox transformation was chosen to maximize the W-statistic in a Shapiro–Wilk normality test. Normality was achieved for all the variables except for the frequencies of low-flow events and zero-flow events, whose distributions were strongly influenced by clusters of zero values. Although we were not able to achieve

complete normality for low-flow events and zero-flow events, we retained transformed versions of them in the analyses because they contain information on ecologically important aspects of flow not described by other variables. After transformation, all variables were standardized by subtracting their mean, and dividing by their standard deviation to equally weight their influence on PCs (Jackson, 1991). We used the ‘principal’ function from the ‘psych’ R library (Revelle, 2013) to perform the PC analysis. Following an initial PCA that identified only those PCs that had eigenvalues greater than 1, we used varimax rotation to align the selected PCs along axes that maximized the correlation between original variables and PC factors. If any of the 16 variables had a loading less than 0.6 (a value we interpreted as indicating a strong loading) on any of the rotated PCs, we iteratively added components to the PCA based on their eigenvalues. We repeated this process until all of the 16 variables had a loading greater than 0.6 on one of the rotated PCs. This process resulted in a matrix of factor scores, F, with a dimension of 601 sites by five PCs.

Flow regime classification

We followed Olden et al. (2012) in using Ward's method of hierarchical, agglomerative clustering (Ward and Hook, 1963) to create a dendrogram that identified similarity amongst sites in flow patterns as measured by associations amongst sites in the five PC factor scores. We used the ‘hclust’ function in the R stats library (R Development Core Team, 2009) to conduct this analysis with Euclidean distance as the measure of similarity between sites or groups of site. Many approaches can be used to create classifications (Olden *et al.*, 2012; McManamay *et al.*, 2012), and the choice of method can affect classification outcomes. However, Ward's classification with Euclidean distances is one of the most widely used methods, and produces more realistic classifications than many other methods (McCune *et al.*, 2002; Olden *et al.*, 2012).

Unlike nonhierarchical classification methods such as K-means (MacQueen, 1967), where the number of classes must be specified a priori, in Ward's method, the number of classes is selected based on a post-hoc interpretation of the dendrogram's structure. Ward's method was therefore useful in that the selection of specific clusters could be guided by our ability to physically interpret them.

Random Forest stream class prediction model

We used random forest (RF) (Breiman, 2001) to statistically model the probability of flow regime class membership as a function of climate and watershed properties. RF creates many classification trees by iteratively sampling a random fraction of the calibration data, and creating classification trees from each sample. Prediction is then based on a majority vote across the many classification trees. Model error was quantified by comparing out-of-bag predictions (i.e. withheld observations) for each site with the original classification.

For this model, we used 34 climate, 7 soil, and 10 topographic and geomorphology candidate predictor variables (Appendix B). For climate variables, we used the PRISM dataset (Daly *et al.*, 1994). For soil variables, we used soil attributes from the State Soil Geographic dataset (Wolock, 1997). We used the Multi-Watershed Delineation tool (Chinnayakanahalli, 2010) to delineate watersheds from digital elevation models obtained from the National Elevation Dataset along with stream network data. The Multi-Watershed Delineation tool uses TauDEM (Tarboton, 2005) and ArcGIS functionality to delineate watersheds and derive watershed topographic attributes.

Prediction of flow regime class change in response to climate change

We generated 4-km resolution climate projections by combining dynamical and statistical climate downscaling techniques. We first used the Weather Research and Forecasting model (WRF; <http://wrf-model.org/index.php>) to dynamically downscale the 150-km resolution projections generated by the NCAR Community Climate System Model version 3 produced for the IPCC 4th assessment (IPCC, 2007) based on the A2 emission scenario (Nakicenovic *et al.*, 2000). The CCSM data were bias-corrected with National Centers for Environmental Prediction reanalysis data before they were dynamically downscaled to 50-km resolution with the WRF model. Such bias corrections were performed with both statistical regression and atmospheric equations to maintain physical consistency amongst the atmospheric variables such as temperature, humidity, geopotential height, and wind (refer to details in Meyer and Jin, (2015)). The 50-km resolution WRF precipitation and temperature projections were further downscaled with statistical regression to a 4-km spatial and 1 month temporal resolution based on the PRISM data (Daly *et al.*, 1994). Use of monthly climate data avoids uncertainties introduced as a result of poor climate model reproduction of high-frequency weather. The statistical downscaling based on PRISM adjusts for orographic effects that are not well captured in coarse-resolution climate models. These forecasts were the same as used by Hill *et al.* (2014), who provide comparisons of these predictions with those of other combinations of global and regional climate models. Climate conditions were projected for the years 2001–2010 and 2090–2099 (referred hereafter as the 2000s and 2090s respectively). These 4-km climate projections were then aggregated over the watersheds of each site to describe watershed-scale climate, which were then used in the RF model to predict changes in flow regime classes.

RESULTS

Major attributes of flow regimes

The PCA identified five major axes of flow variability across the study basins. The application of Kaiser's rule (PC eigenvalues greater than 1) (Kaiser, 1958) resulted in an initial selection of four PCs, but predictability and contingency had loadings less than 0.6. Adding a fifth PC resulted in all 16 flow variables having loadings greater than 0.6, and 86% of the total variance present in the original 16 flow regime variable was associated with the five PCs (Table 1). The pattern of loadings of the 16 flow variables on the five PCs was intuitive and physically interpretable. The first PC represented variation amongst basins in low flows. The other components represented variation in overall magnitude, flashiness, timing, and constancy of flow.

Classification of flow regimes

We identified three distinct classes of streamflow regime (A, B, and C) as well as eight more finely resolved subclasses (Figure 2). The classes differed from one another in one or more flow factors as indicated by both box plots showing the distribution of PCA factor scores within classes (Figure 3) and daily flow patterns for representative streams in each class (Figure 4). The seasonal pattern of snowmelt-driven flow, characterized by low flashiness, was the primary factor associated with class A. Classes B and C had flashier flows, but differed in terms of the extended low-flow index, which separated intermittent streams (B) from perennial streams (C). Within the snow-fed streams (A), magnitude of flow distinguished A1 (small) from A2 (large) streams. Within intermittent streams (B), B1 streams were smaller with more extended periods of no flow than B21 and B22 streams. B21 and B22 streams differed in terms of whether flows occurred primarily in winter (B21) or summer (B22). Within the perennial streams (C), C1

streams had early (winter) timing and more constant flows than C21 and C22 streams, which had a more seasonal pattern. C21 and C22 streams differed in size. C21 streams had smaller flows than C22 streams. We thus interpreted the eight stream classes to represent the following flow regimes: (A1) smaller perennial snow-fed streams, (A2) larger perennial snow-fed streams, (B1) smaller intermittent streams, (B21) larger intermittent streams with winter flow, (B22) larger intermittent streams with summer flow, (C1) perennial streams with mostly winter flow, (C21) smaller perennial streams with nonseasonal flow, and (C22) larger perennial streams with nonseasonal flow.

Spatial structure was evident for some of the classes (Figure 5). Classes A1 and A2 streams were found mostly in the north mid-western USA, with larger (A2) streams occurring in the northern part of the USA, and smaller (A1) streams occurring more in the south. Class B1 streams dominated the xeric areas of North and South Dakota as well as southern parts of California and Arizona. Class B22 streams occurred mostly in the central USA and across parts of Texas. B21 streams occurred mostly in the central part of the eastern USA. Sites belonging to class C1 occurred along the northwestern coast of the USA. Class C21 occurred along the Appalachian Mountains and in the Northeastern USA. Streams in Class C22 did not have an obvious spatial structure, but mainly occurred in different regions within the northern USA.

Random forest model predictions of flow regime class

Seven predictor variables were selected from the watershed and climate attributes listed in Appendix B for use in the random forest model to predict flow regime classes. The variables selected by the model were watershed area (AREA), percentage of precipitation that occurs when mean air temperature is less than zero (assumed to be snow) (PREC_SNM), the difference

between mean annual maximum and mean annual minimum precipitation (DIFF_P), soil permeability (PRMH_AVE), proportion of annual precipitation that occurs in June (PRECIP_Jun_SC), mean elevation of the watershed (ELEV_MEAN), and proportion of annual precipitation that occurs in October (PRECIP_Oct_SC). The overall prediction (misclassification) error of the model was about 25%, but varied amongst classes (Table 2) ranging from 14% (Class C21) to 43% (Class B21) (cf., Figure 5 and Figure 6 (top)). The larger error for class B indicates that the model is not able to predict aspects of low flow as well as other flow attributes.

Predicted changes in flow regime classes in the 2090s

The likelihood of streams changing flow regime class in response to projected climate change depended on the initial regime class (Table 3). The smallest per cent of sites were predicted to change class in the perennial snow-fed stream classes (A1 and A2). The highest per cent of class changes were predicted for intermittent streams with winter flows (B21) and intermittent streams with summer flows (B22), for which more than 50% of sites were predicted to change class. Many intermittent streams with winter flows (B21) were predicted to change to either intermittent streams with summer flows (B22) or small perennial streams (C21). Many intermittent streams (B21 and B22) were predicted to become perennial streams (C classes). 10% of perennial streams (C classes) were predicted to become intermittent (B class streams).

Predicted changes in flow regime also showed a spatial pattern (Figure 6). Many predicted flow regime changes occurred across Nebraska, Kansas, the Dakotas, central Texas, the eastern part of Kentucky, and in central Virginia, where many intermittent streams (B classes) were predicted to change into perennial rain-fed streams (C classes). Many currently

perennial streams (C classes) in South Dakota, in and around North Carolina, and in parts of Illinois and Indiana were predicted to change into intermittent (B classes) streams. Along with these major class changes, in which stream permanence changed, more subtle changes were predicted to occur in some of the more finely resolved stream classes. Some intermittent streams with winter flow (B21 classes) around the southern parts of Indiana were predicted to change to intermittent streams with summer flow (class B22). Similarly, some intermittent streams with summer flow (B22 class) in the central Texas region were predicted to change to small intermittent streams (B1 class). Subtle changes in timing and magnitude of some perennial streams were also predicted. Perennial streams with winter flows (C1 class) were predicted to change to smaller perennial streams with less-seasonal flows (C21 class) around the coast of Louisiana along with some sites around the southwestern edge of North Carolina. Many small perennial streams with nonseasonal flows (C21 class) were predicted to change to perennial streams with primarily winter flows (C1 class) around West Virginia and Connecticut. Some larger perennial streams (C22 class) were predicted to change to perennial streams with primarily winter flows (C1 class) in West Virginia.

DISCUSSION

The usefulness of our analyses for ultimately understanding and predicting how stream ecosystems and their biota will respond to climate change depends on several assumptions and conditions. First, we assumed that the flow variables, and the regimes derived from them, are ecologically meaningful. Second, we assumed that the models we developed to predict how flow regimes vary with watershed attributes and current climate conditions are sufficient to adequately describe important spatial variation amongst streams in their flow regimes. Third, we assumed that the climate models we used produced a reasonably plausible representation of future climate

conditions in the CONUS. Finally, we assumed that the predictions from the empirical watershed–climate–flow model were informative of future flow regimes, recognizing the inevitable uncertainties in these predictions. We address each of these issues in detail below.

Relevance of streamflow variables and regimes (assumption 1)

The utility of our analyses for subsequent ecological assessments depend on how ecologically meaningful our characterizations of flow regimes were. We faced two conceptual and methodological challenges in this respect: (i) choice of the flow variables to include in analyses; and (ii) how to meaningfully characterize flow regimes as the simultaneous, multivariate variation in those flow variables across sites. Given that the specific flow dependencies of most stream species are unknown, we used insights from previous ecohydrological studies and discussion with stream ecology colleagues to select the 16 flow variables we used in the analyses. Each of these variables has been identified in the literature as being important to at least one species or functional process, and collectively, they characterize the main ecologically important dimensions of streamflow and are consistent with the flow variables used in other analyses (McCargo and Peterson, 2010; Chinnayakanahalli *et al.*, 2011; Rolls *et al.*, 2012). The use of only 16 key variables produced classifications that were qualitatively similar to the classifications produced by others based on a larger number of flow variables (Reidy Liermann *et al.*, 2012; McManamay *et al.*, 2014; Leasure *et al.*, 2016) . Moreover, restricting our analyses to a manageable number of variables facilitated the interpretation of the PCA and classification results. This physical interpretation guided iterative addition, combination, and removal of variables to arrive at the final set of variables that we used.

Prediction of flow regime class from watershed and climate variables (assumption 2)

We demonstrated that the flow regime classes we identified can be predicted from watershed and climate variables. Others have previously developed models to predict flow regime from watershed and climate variables (Sanborn and Bledsoe, 2006; Chinnayakanahalli *et al.*, 2011; Reidy Liermann *et al.*, 2012), but only Reidy Liermann *et al.* have used modelled climate data to predict flow regime class, albeit at a regional scale. Our modelling indicated that we could predict the natural streamflow regime classes with a mean accuracy of 75% with a small set of seven climate, geomorphological, and watershed soil variables. The random forest modelling approach we used successfully predicted smaller, snow-fed streams with high accuracy, but did not perform as well for intermittent and rain-fed streams.

The spatial structure of the flow classes we derived appears to be consistent with well-established spatial variation in climatic conditions across the CONUS, although classes were not geographically distinct. Some regions have a number of different stream classes intermingled and in close proximity. This intermingling was expected, given our reliance on magnitude variables, because streams vary in size regardless of climate and geological differences. The influence of geological differences on flows in close proximity has been noted by others (Poff, 1996; McManamay *et al.*, 2012; Reidy Liermann *et al.*, 2012; Eng *et al.*, 2015). The fact that flow size variables were major discriminators in going from 3 to 8 classes in the hierarchical classification demonstrates that stream size is an important attribute in our classification. We think that including magnitude in the classification of flow regimes is important, given the importance of flow volume to stream biota and the likelihood that climate change will affect the magnitude of flow in some streams. Our results also imply that streams with larger discharges may be less vulnerable to climate change than smaller streams.

These types of models have application beyond our primary objective (predicting the potential effects of climate change on flow regime at our study sites) in that they can predict the flow regimes of ungauged sites anywhere within the CONUS where watershed and climate attributes can be quantified. Given the importance of flow to stream ecosystems and the need to assess the status of environmental and ecological conditions of streams and rivers, there is a critical need to predict the natural flow regimes that characterize the millions of ungauged reaches in the USA and elsewhere (Poff *et al.*, 2009; Hawkins *et al.*, 2010; Chinnayakanahalli *et al.*, 2011; Carlisle *et al.*, 2014; Tonkin *et al.*, 2014).

Future predictions of flow regime classes (assumptions 3 and 4)

The degree to which of our predictions of future flow regimes were realistic depends on two aspects of our modelling. First, the climate projections we used, originating in general circulation models, have known biases, which contribute to uncertainty in model predictions. We used statistical approaches to reduce the effect of these biases (Meyer and Jin, 2015), but such approaches cannot completely remove all the biases. Second, lack of stationarity in hydrologic systems has cast doubt on the use of stationary empirical models (Milly *et al.*, 2008). This uncertainty propagates into the prediction of flow regimes. We recognize that this uncertainty affects the accuracy of the climate projections within the CONUS, and that the robustness of our results must be interpreted in the context of these uncertainties (Hill *et al.*, 2014). These uncertainties appear to be highest when predicting the flow regimes of streams that are near the transition between perennial and permanent flows. There were both more uncertainty and more predicted class change in intermittent and rain-fed streams than snow-fed streams. These differences imply that the seasonal regime of snow-fed streams will be more robust to climate change than other types of regimes at the level of classification used here. Further work that

identifies variables that discriminate subtler aspects of snow-fed flow regime may be needed to better understand the potential for change in snow-fed systems.

Our study anticipates that a number of changes in flow regime classes associated with predicted climate change by the end of the century will occur. For example, flow regimes in the central and eastern part of the USA were predicted to be more likely to change than those in the western USA. Specifically, a considerable proportion of intermittent streams in central USA (B21 and B22) and eastern USA (B21) were predicted to change into perennial type (Class C). These predictions for some currently intermittent streams to become perennial and vice versa are consistent with other climate model predictions that predict that temperatures will increase in both the north-central and eastern parts of the USA, whereas precipitation is projected to increase in just the eastern USA (IPCC, 2013). The prediction that many currently intermittent streams could switch to perennial status is important because considerable concern currently exists regarding the tendency for historically perennial streams to become intermittent as a result of both direct extraction and groundwater pumping (Larned *et al.*, 2010). Moreover, we know considerably less about either the hydrology or ecology of historically intermittent streams than perennial streams simply because they have been less studied than permanent streams (Eng *et al.*, 2015; Cid *et al.*, 2016). Our results identify a potential pattern in how streamflows may respond to climate change that, to our knowledge, has not been identified or emphasized by other studies.

Our results indicate that in western mountainous regions, the snow-fed regimes of many streams may be relatively insensitive to climate change, at least in terms of the flow regime classes used here. These results are consistent with analyses conducted by (Poff *et al.*, 1996) who showed that rainfall-driven flow regimes were more sensitive to climate change than snowmelt regimes, but they contrast with those of (Reidy Liermann *et al.*, 2012) who predicted that many

streams in Washington state would shift from snow-fed dominated streams to rain-fed streams by 2040s with an accompanying reduction in annual discharge. However, our results are not directly comparable with (Reidy Liermann *et al.*, 2012) because they used a different climate change scenario. Their study also focussed on a smaller area in which precipitation appears to be especially vulnerable to shifts from snow to rain. Although our results indicate that the effects of climate alone on the seasonal nature and pattern of streamflow may not greatly alter streamflow regimes across the mountainous areas of the western USA as a whole, further work that identifies variables that discriminate subtler aspects of snow-fed flow regime may be needed to better understand the potential for change in snow-fed systems. Also, the pervasive presence of land use in most watersheds (Falcone *et al.*, 2010) will most likely interact with climate change to influence streamflow regimes (Ordonez *et al.*, 2014; Kuemmerlen *et al.*, 2015).

CONCLUSIONS

We conducted these analyses to better understand the likely hydrological consequences of climate change for stream biota and their ecosystems, a general concern amongst stream ecologists (Palmer *et al.*, 2008; Tonkin *et al.*, 2014). Given the uncertainty in the magnitude of future emissions of greenhouse gases and differences between climate models in their specific predictions, it is impossible to predict with certainty how the flow regimes in streams of the USA and elsewhere will change. However, our study indicates that climate-induced changes in streamflow are likely to be both significant and variable across the USA. Improving our understanding of what specific types of streams will be most vulnerable to climate change, and how climate-induced changes in flow regimes will affect stream ecosystem structure and function is a critical research priority. The streamflow regime classification we developed allowed us to characterize streamflow regimes at the scale of the CONUS, was physically

interpretable, and was sufficiently resolved that we could assess how different streamflow regimes may change in response to projected climate changes. The explicit choice to include flow magnitude as a classification variable helped reveal that stream size is likely to influence the vulnerability of individual streams to climate change. In a following paper, we will describe how these projected shifts in flow regimes are predicted to affect the biodiversity patterns of stream invertebrates.

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Table 1. Loadings of the 16 Box-Cox transformed flow variables on 5 varimax-rotated principal components. Loadings with magnitude greater than 0.6 are indicated in bold.

<i>Flow Variables</i>	<i>Rotated Principal Components</i>				
	<i>PC1</i>	<i>PC2</i>	<i>PC3</i>	<i>PC4</i>	<i>PC5</i>
Extended Low Flow Index (ELFI)	0.83	0.05	0.05	0.15	-0.14
Coefficient of Variation of Daily Flows (DAYCV)	-0.82	-0.07	0.07	0.04	0.36
Contingency (M)	0.67	-0.01	-0.44	-0.23	0.1
Low Flow Event Frequency (LFE)	0.84	0.13	0.29	0.02	-0.05
Zero Flow Event Frequency (ZFE)	-0.85	-0.17	-0.01	0.05	0.22
Average 7 day Minimum Flow (Qmin7)	0.71	0.58	0.01	0.07	-0.25
Mean daily discharge (QMEAN)	0.31	0.93	0.03	-0.08	-0.14
Bank Full Flow (Q167)	0.01	0.97	0.21	-0.12	0
Average 7 day Maximum Flow (Qmax7)	0.08	0.99	0.03	-0.07	-0.01
Flow Reversal(R)	0.54	0.12	0.68	0	-0.14
Flood Duration (FLDDUR)	0.09	-0.07	-0.84	0.2	0.18
High Flow Event Frequency (HFE)	0.02	0.1	0.91	-0.22	-0.07
50% timing of flow (T50)	0.04	-0.11	-0.36	0.79	0.2
Time of Peak (Tp)	-0.02	-0.09	-0.08	0.89	-0.01
Predictability (P)	-0.3	-0.08	-0.36	0.05	0.86
Constancy (C)	-0.56	-0.11	-0.1	0.2	0.73
Proportion of variance explained (cumulative)	0.28	0.21 (0.49)	0.16 (0.65)	0.1 (0.75)	0.11 (0.86)
Interpretation	Low Flow (L)	Magnitude (Q)	Flashiness (F)	Timing (T)	Constancy (C)

Table 2. Out-of-bag random forest model confusion matrix showing prediction error for each flow regime class based on model calibration data for the period 1965 – 2010

	Predicted									
	A1	A2	B1	B21	B22	C1	C21	C22	Error	
Observed	A1	54		2			1	7	1	17%
	A2	4	28						6	26%
	B1	3		36	3	8	4	5	3	42%
	B21			1	24	3	1	11	2	43%
	B22			1	1	33		2	6	23%
	C1			6	1		54	3	5	22%
	C21			5	4	1	2	151	13	14%
	C22	4	3	5	2	3	5	14	70	34%

Table 3: Matrix showing predicted change (%) in flow regime class between 2001-2010 and 2090-2099.

	2090-2099 Predictions									
	A1	A2	B1	B21	B22	C1	C21	C22	% Change	
2001 – 2010 Predictions	A1	56	1	1				1	5%	
	A2		29						0%	
	B1			45		3	2	2	9	26%
	B21			1	8	5	3	9	1	70%
	B22			5	1	22	9	3	13	58%
	C1	1		2	1	2	75	7	5	19%
	C21		1	10	12		35	104	9	39%
	C22		3	2	1		10	2	90	17%

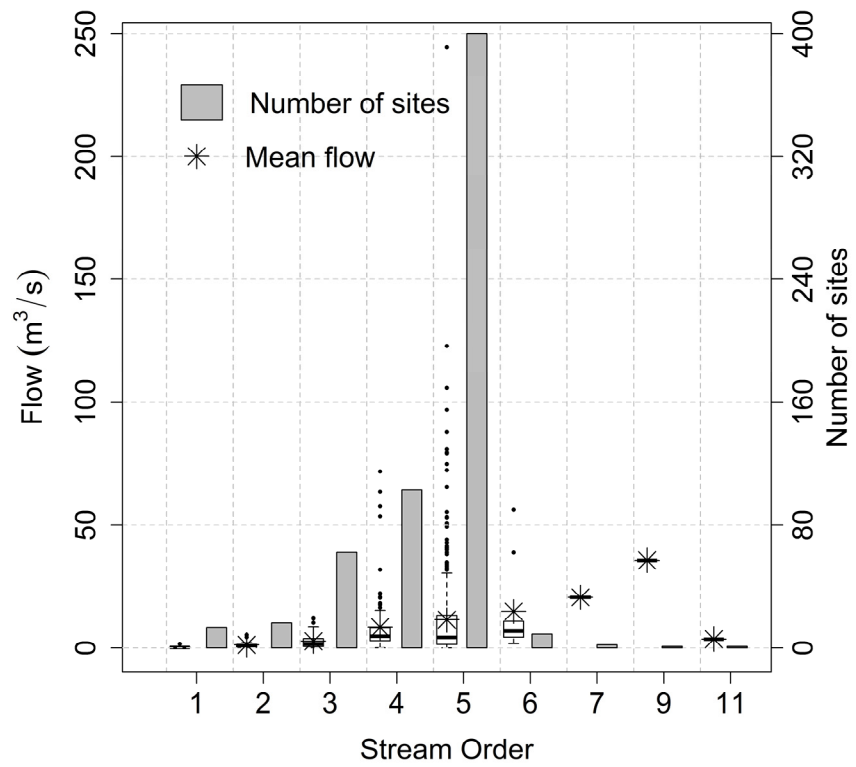


Figure 1. Ranges of mean annual flow and Strahler stream order across the study sites.

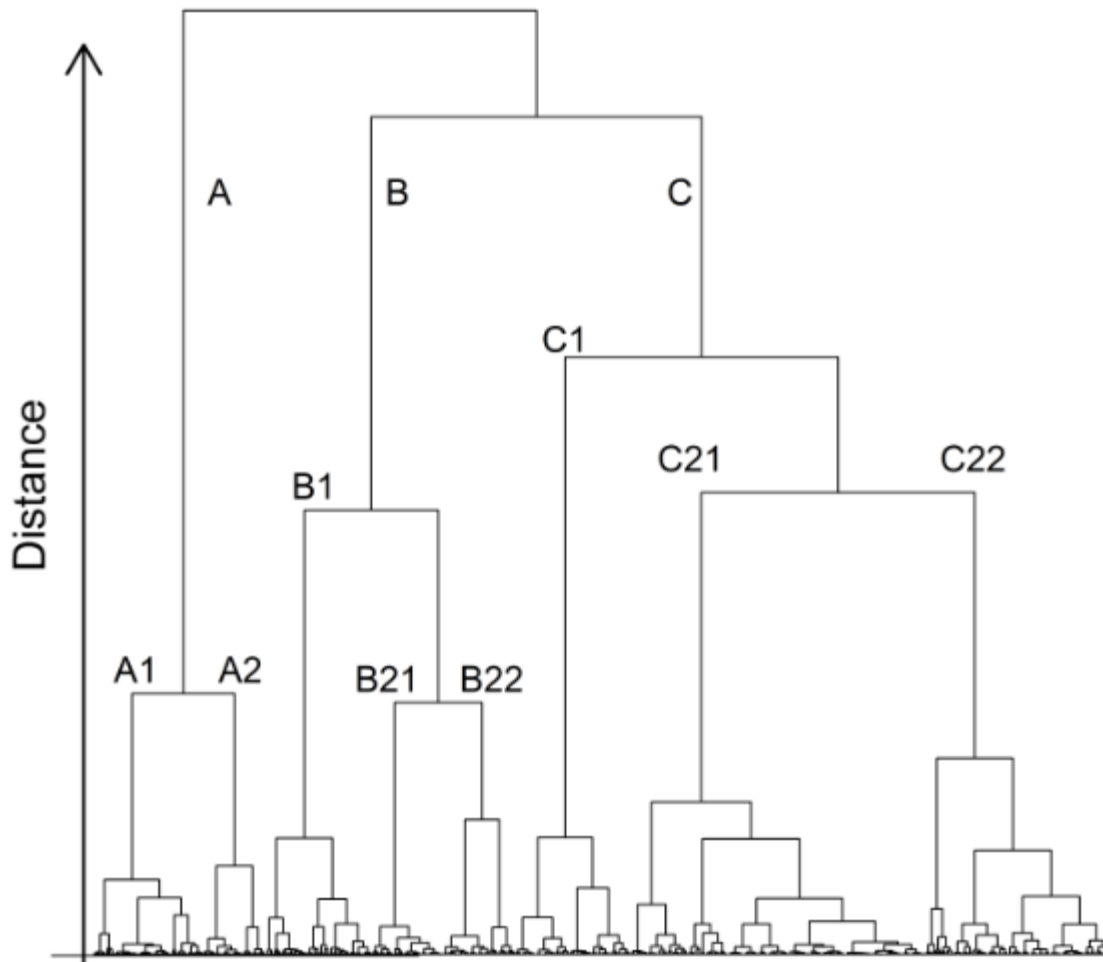


Figure 2. Classification dendrogram. The eight classes are – (A1) small perennial snow fed streams, (A2) large perennial snowfed steams, (B1) small intermittent streams, (B21) intermittent streams with winter flow, (B22) intermittent streams with summer flow, (C1) perennial streams with winter flow, (C21) small perennial streams, and (C22) large perennial streams.

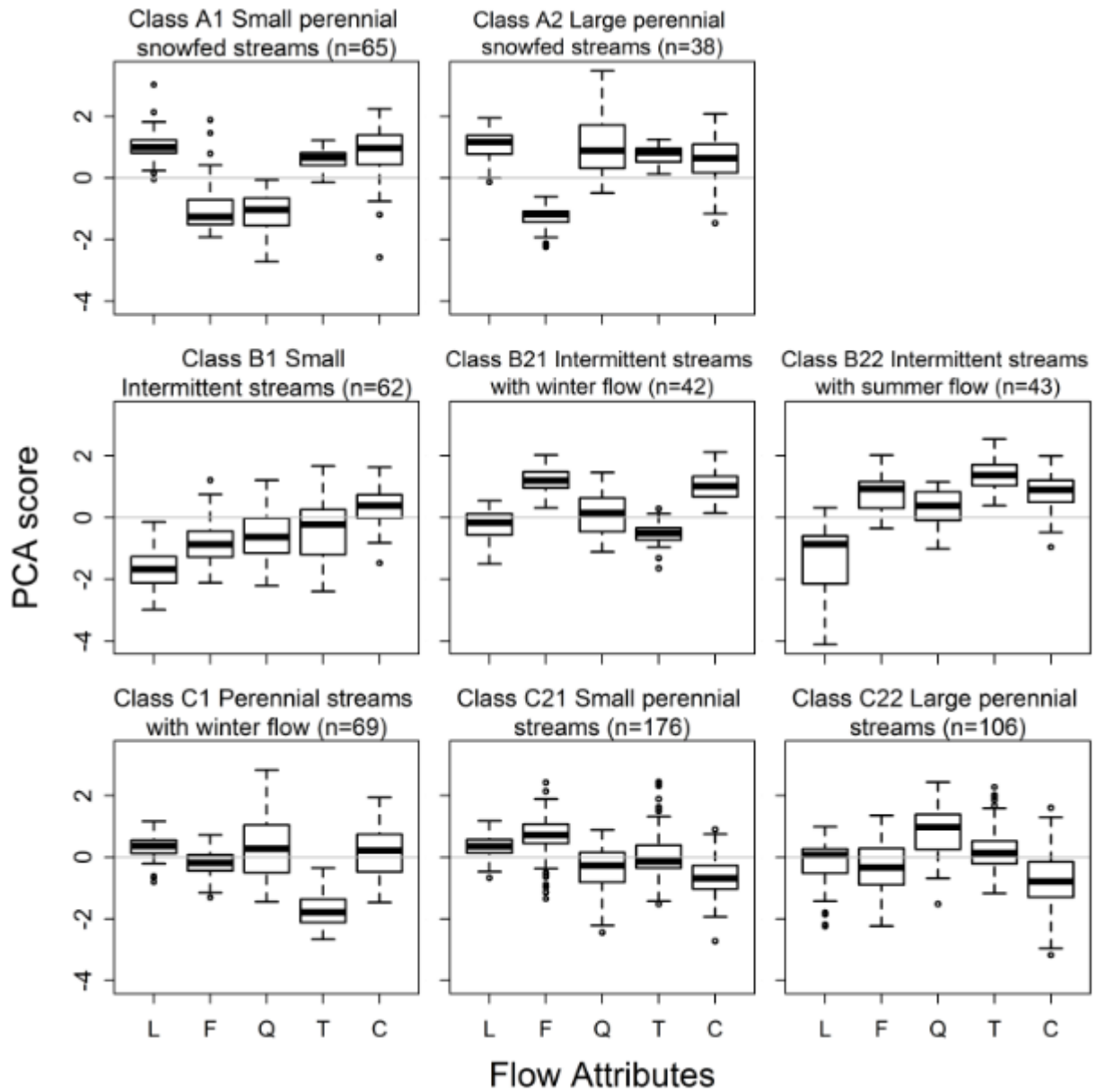


Figure 3. Box plots showing the distribution of varimax-rotated principal component factors for eight stream classes. The sample size (n) for each class is given. X-axis labels indicate the varimax-rotated principal component factors from Table 1 with L = Low Flow, F = Flashiness, Q = Magnitude, T = Timing, C = Constancy.

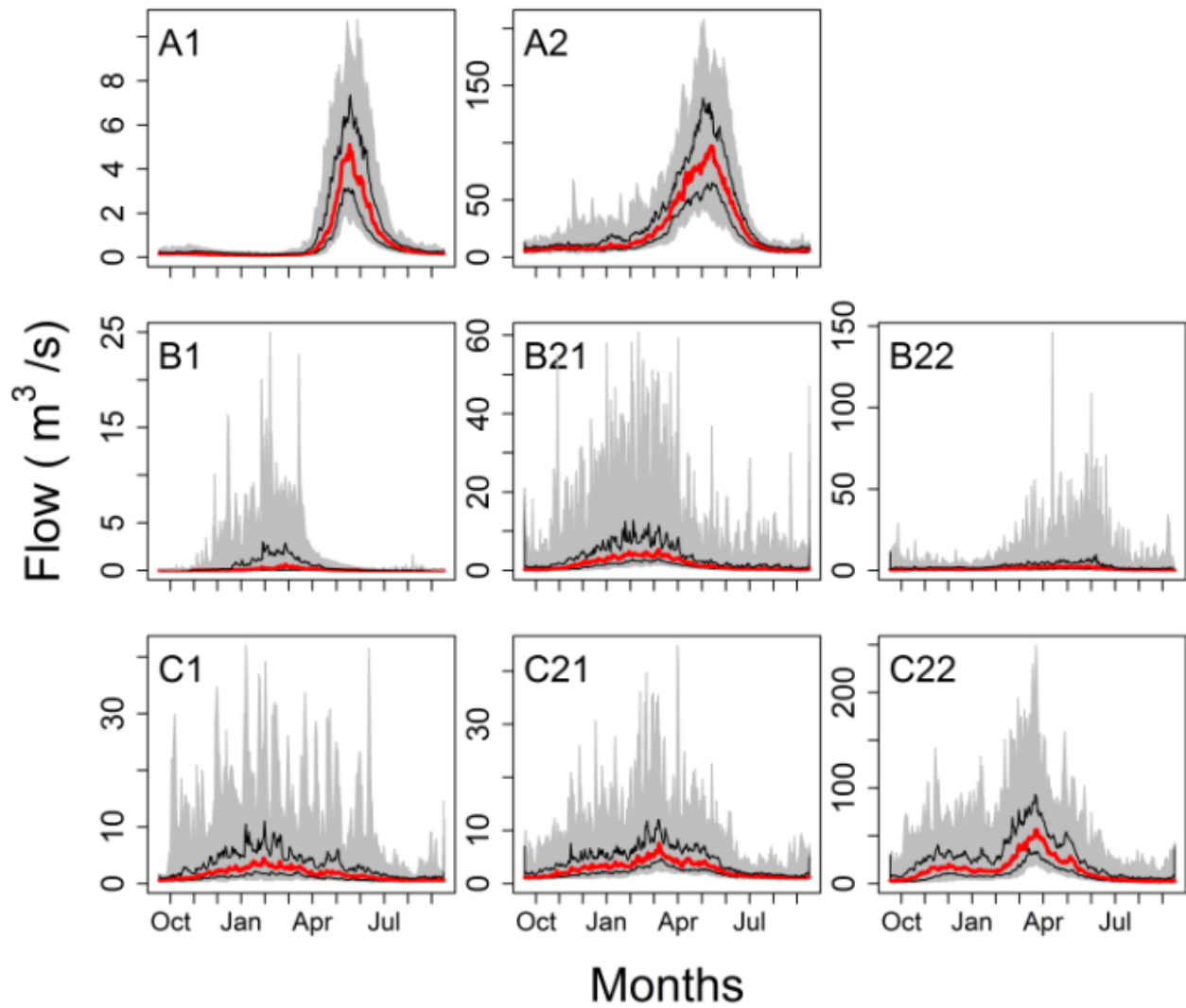


Figure 4. Daily flow patterns for the archetypal stream in each class (i.e., the stream closest to the median of each of the PC factors). Gray shading gives the 5th to 95th percentile range of daily flows, fine lines give 25th and 75th percentile, and the bold (red) line gives the 50th percentile (median). The stream closest to the median was determined using Euclidean distance computed in the PC factor space.

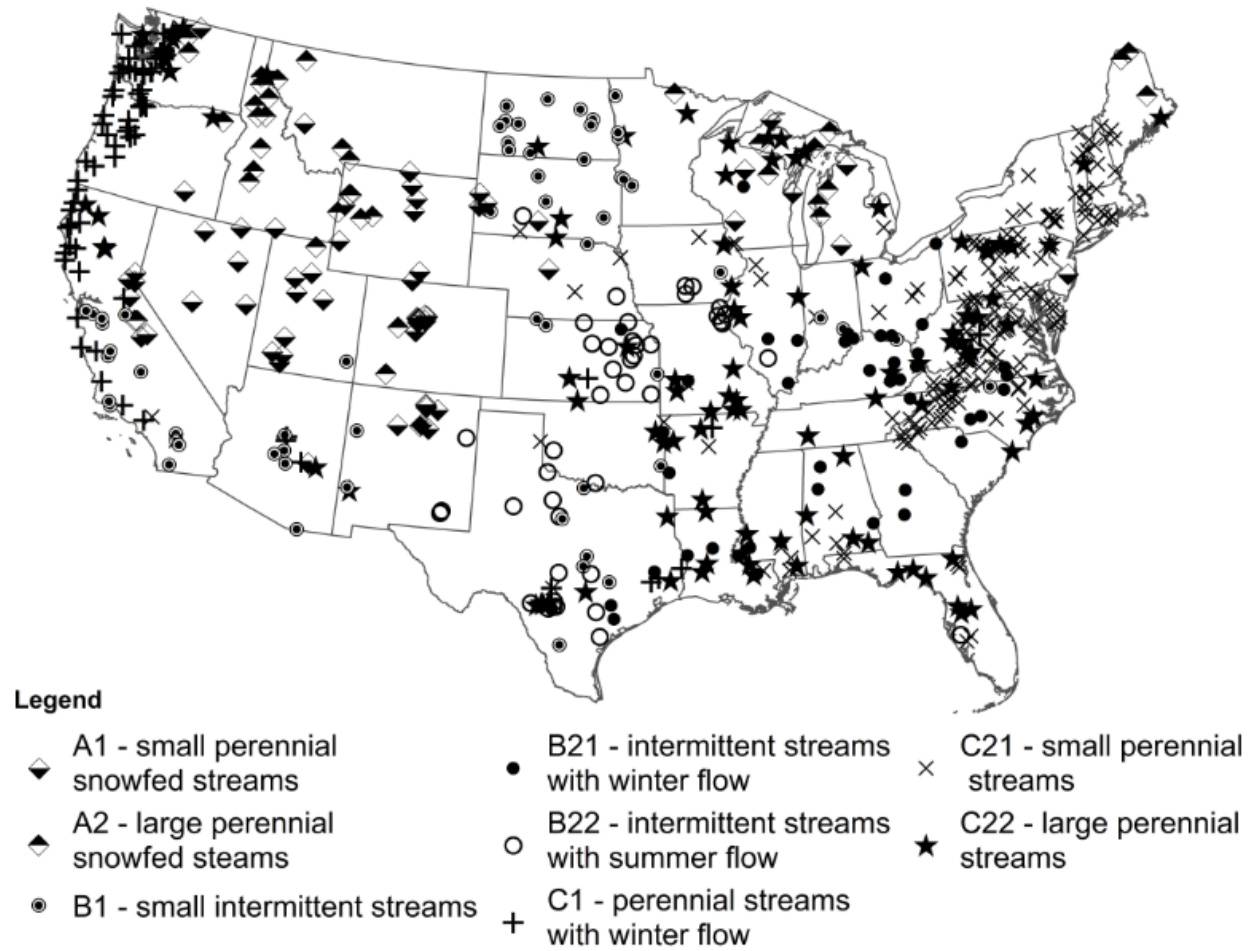


Figure 5. Spatial distribution of streams assigned to the 8 flow regime classes.

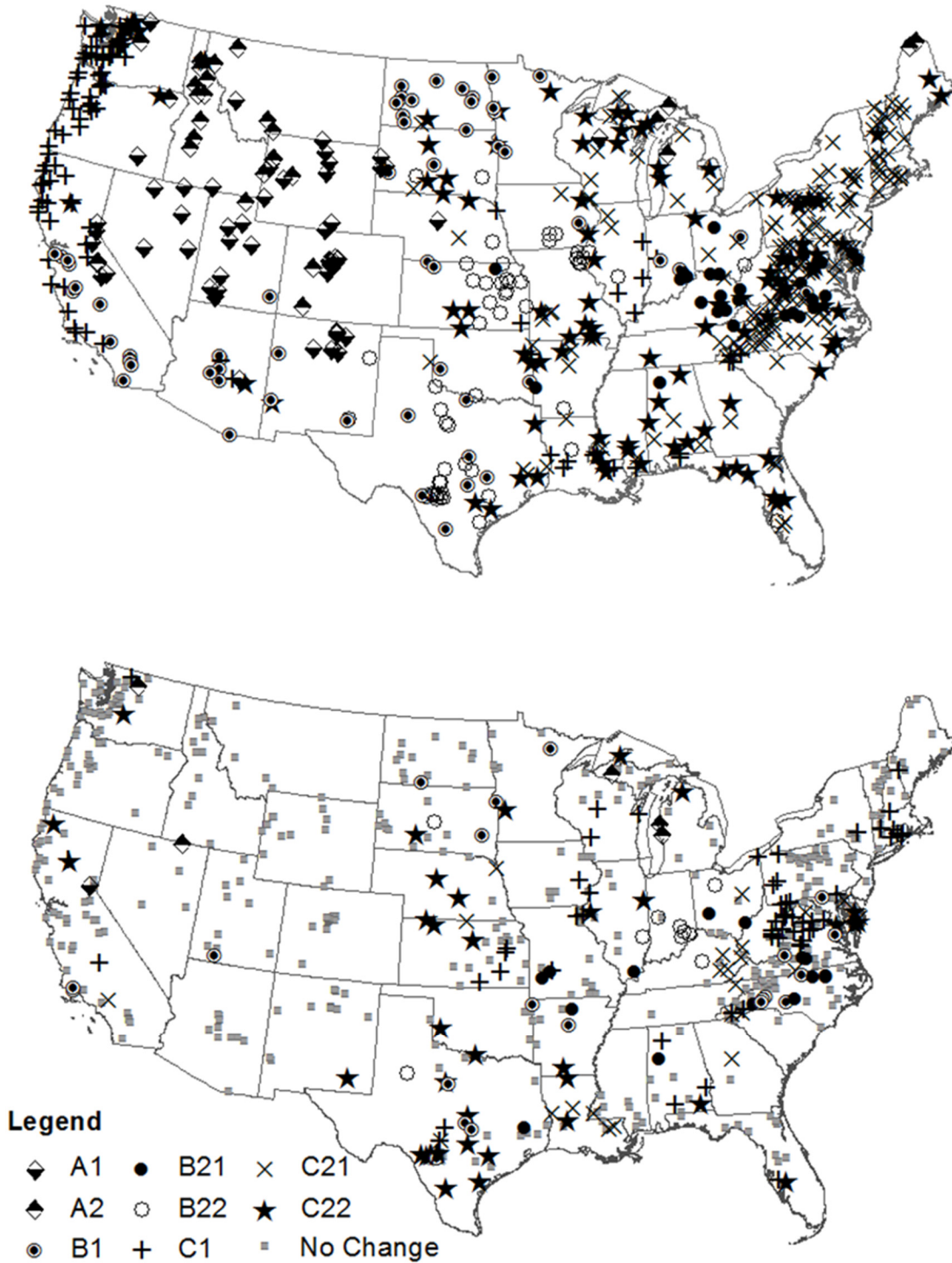


Figure 6. (a) Predicted flow regime classes based on 2000s climate. (b) Predicted flow regime class based on 2090-2999 projected climate change.

Appendix A: Streamflow Variables Used to Classify Flow Regimes

Sulochan Dhungel, David G. Tarboton, Jiming Jin, Charles P. Hawkins

Potential Effects of Climate Change on Ecologically Relevant Streamflow Regimes

The following variables were selected to characterize ecologically relevant aspects of the streamflow regime. This relatively small set of flow variables was selected to collectively span the major dimensions of stream flow variation that ecologists view as important in maintaining stream ecosystem structure and function. We tried to avoid excessive statistical redundancy among variables selected and report (Table A-1) correlations among variables evaluated using the 601 GAGES sites used in this study. Additional details on the definition of these variables and examples on their evaluation are given in Dhungel (2014).

1. Zero Flow Event Frequency (ZFE) is the average number of zero flow events per year. A zero flow event is a contiguous series of days within a year when daily flow is zero.
2. Extended Low Flow Index (ELFI) is a combination of Base Flow Index and Zero Days Fraction. Base Flow Index (BFI) is the ratio of lowest daily flow to annual average flow. Zero Days Fraction (ZDF) is fraction of days in a year with zero flow. BFI is zero for streams that go dry, while ZDF is zero for streams that do not go dry. Thus one of these is always zero, and in terms of quantifying the amount of time a stream is dry or how close to becoming dry a stream becomes BFI and ZDF complement each other. ELFI is defined as $ELFI = BFI - ZDF$ to, on a scale from -1 to 1, quantify both these effects and avoid statistical problems due to a large group of values being at 0 which occurs for BFI and ZDF when they are used separately.

3. Low Flow Event Frequency (LFE) is the average number of low flow events per year. A low flow event is a contiguous series of days within a year when daily flow falls below the 5th percentile of the entire series.
4. Average 7 day Minimum Flow (Q7min) is the average across years of the minimum of the seven day average flows within a year.
5. Average 7 day Maximum Flow (Q7max) is the average across years of the maximum of the seven day average flows within a year.
6. Bankfull flow (Q167) is the daily flow value that has a probability of exceedance of 1/1.67 from a log-normal probability distribution fit to the annual maximum daily flow series. This is sometimes used in geomorphology as an estimate of bank full flow (Dunne and Leopold, 1978; Poff and Ward, 1989).
7. Flood Duration (FLDDUR) quantifies the duration of flooding as the average number of days per year when the daily flow equals or exceeds Q167.
8. Time of Peak (Tp) is the time of peak flow calculated from the daily average across all the years.
9. High Flow Event Frequency (HFE) is the average number of high flow events per year. A high flow event is a contiguous series of days within a year when daily flow is above the 95th percentile of the entire series.
10. Mean Daily Discharge (QMEAN) is the mean daily discharge.
11. Coefficient of Variation of Daily Flows (DAYCV) is the ratio of the standard deviation of daily flows to the average of daily flows.
- 12, 13, 14. Colwell's Indices of Predictability (P), Constancy (C) and Contingency (M) are measures of uncertainty based on information theory presented by Colwell (1974). These

were computed by grouping daily streamflow values into 7 states (<0.5 QMEAN, 0.5 QMEAN to 1.0 QMEAN, 1.0 QMEAN to 1.5 QMEAN, 1.5 QMEAN to 2.0 QMEAN, 2.0 QMEAN to 2.5 QMEAN, 2.5 QMEAN to 3.0 QMEAN, >3 QMEAN), following Gordon et al.(2004), and using Shannon's entropy measures to quantify the uncertainty of flow across these states and months. Precise details on our implementation of these calculations are given by Chinnayakanahalli (2010) and Dhungel (2014).

15. Flow reversals (R) is the number of changes of trend from the previous day (increasing to decreasing or vice-versa) each year averaged for all the years of record.
16. T50 is the mean across all years of record of the time of the water year by which 50% of the total flow has occurred measured in days from October 1.

Table A-1: Correlation Matrix

	ELFI	DAYCV	QMEAN	Q167	FLDDUR	P	C	M	T50	TP	Qmin7	Qmax7	R	LFE	HFE	ZFE
ELFI	1															
DAYCV	-0.73	1														
QMEAN	0.29	-0.41	1													
Q167	0.04	-0.05	0.91	1												
FLDDUR	0.10	-0.06	-0.11	-0.28	1											
P	-0.40	0.50	-0.28	-0.16	0.42	1										
C	-0.44	0.69	-0.40	-0.16	0.25	0.82	1									
M	0.30	-0.54	0.21	-0.05	0.34	0.06	-0.43	1								
T50	0.06	0.04	-0.18	-0.27	0.47	0.32	0.28	0.07	1							
TP	0.02	0.05	-0.15	-0.19	0.20	0.12	0.15	-0.04	0.61	1						
Qmin7	0.77	-0.70	0.78	0.55	0.00	-0.47	-0.58	0.36	-0.04	-0.05	1					
Qmax7	0.08	-0.13	0.95	0.97	-0.12	-0.12	-0.18	0.06	-0.17	-0.15	0.61	1				
R	0.42	-0.49	0.35	0.25	-0.47	-0.55	-0.53	0.09	-0.22	-0.08	0.49	0.20	1			
LFE	0.02	-0.02	0.17	0.32	-0.77	-0.42	-0.23	-0.31	-0.49	-0.27	0.07	0.15	0.64	1		
HFE	0.67	-0.62	0.39	0.20	-0.16	-0.45	-0.54	0.37	-0.09	-0.03	0.64	0.22	0.61	0.24	1	
ZFE	-0.70	0.71	-0.44	-0.19	0.03	0.47	0.64	-0.52	0.09	0.05	-0.74	-0.25	-0.43	-0.03	-0.78	1

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Appendix B: Watershed Attributes Used in the Predictive Modeling

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Potential Effects of Climate Change on Ecologically Relevant Streamflow Regimes

The following watershed attributes were used as candidate predictors in the random forest modeling. The bold underlined variables are used in the final random forest model.

1. TMIN_WS: Watershed average of the coldest month's PRISM mean monthly air temperature.
2. TMAX_WS: Watershed average of the warmest month's PRISM mean monthly air temperature
3. TMEAN_WS: Watershed average of the annual mean of the PRISM mean monthly air temperature.
4. DIFF_T: $TMAX_WS - TMIN_WS$
5. DELTAT: Watershed average of the seasonal amplitude of mean monthly temperature.
The amplitude of the annual sin/cos cycle fit to the mean across years of PRISM monthly temperature.
6. SD_TMIN_WS: Standard Deviation across each watershed of the coldest month's PRISM mean monthly air temperature.
7. SD_TMAX_WS: Standard Deviation across each watershed of the warmest month's PRISM mean monthly air temperature.
8. MINP_WS: Watershed average of the driest month's PRISM mean monthly precipitation.

9. MAXP_WS: Watershed average of the wettest month's PRISM mean monthly precipitation.
10. MEANP_WS: Watershed average of the annual mean of the PRISM mean monthly precipitation.
11. **DIFF P** : MAXP_WS – MINP_WS
12. DELTAP: Watershed average of seasonal amplitude of precipitation (Woods, 2003). The amplitude of the annual sin/cos cycle fit to the mean across years of PRISM monthly precipitation.
13. PPT50AVG: The point of time in the year (in months counting from the beginning of January) at which cumulative annual precipitation passes 50% of the total annual precipitation. This is evaluated for each year from PRISM monthly precipitation as a fractional month quantity then averaged across years of record.
- 14 – 25. **PRECIP <Month> SC** : Watershed average mean monthly precipitation scaled by dividing by mean annual precipitation. (<Month> = Jan, Feb, Mar, Apr, May, Jun, Jul, Aug, Sep, Oct, Nov, Dec)
26. PROPSNX : Watershed average of mean proportion of precipitation that occurs in months when max temp < 0 C. The months with PRISM max temperature < 0 C are determined each year and the proportion of precipitation determined for these months each year and averaged across years of record.
27. PRECSNX: Watershed average of the annual average of yearly precipitation that occurs in months when max temp < 0 C. The months with PRISM max temperature < 0 C are determined each year and the amount of precipitation summed for these months each year and averaged across years of record.

28. PROPSNM: Watershed average of mean proportion of precipitation that occurs in months when mean temp < 0 C. The months with PRISM mean temperature < 0 C are determined each year and the proportion of precipitation determined for these months each year and averaged across years of record.
29. **PREC SNM**: Watershed average of the annual average of yearly precipitation that occurs in months when mean temp < 0⁰ C. The months with PRISM mean temperature < 0⁰ C are determined each year and the amount of precipitation summed for these months each year and averaged across years of record.
30. RH_WS: Watershed average of the annual mean of the PRISM mean monthly relative humidity.
31. PETBAR: Watershed average of the mean annual potential evapotranspiration.
32. RDRYNESS: Climate Dryness Index (Woods, 2003), the ratio of PETBAR to MEANP_WS.
33. DELTAE: Seasonal amplitude of potential evapotranspiration (Woods, 2003).
34. SEASONALITY: Climate seasonality index (Woods, 2003).
35. **AREA**: Watershed Area in sq. km.
36. **ELEV MEAN**: Mean watershed elevation.
37. ELEV_MIN: Minimum elevation in the watershed.
38. ELEV_MAX: Maximum elevation in the watershed.
39. ELEV_STD: Standard Deviation in the watershed.
40. SHAPE1: Ratio of the watershed area to the square of the longest distance to the outlet on the flow path
41. MEANSLP: Watershed average topographic Slope.

42. STDSLPL: Standard Deviation of topographic slope.
43. DDEN: Drainage density in meters of stream per square meter of watershed determined from the stream network as created from drop analysis (Tarboton *et al.*, 1988).
44. HYPSONETRIC CONVEXITY: Dimensionless elevation-relief ratio calculated as $(ELEV_MED - ELEV_MIN) / (ELEV_MAX - ELEV_MIN)$ where ELEV_MED is the median elevation within a watershed.
45. AWCH_AVE: Watershed average of available water capacity of soils
46. BDH_AVE: Watershed average of soil bulk density.
47. KFCT_AVE: Watershed average of soil erodibility factor.
48. OMH_AVE: Watershed mean high value of soil organic matter content.
49. **PRMH_AVE**: Watershed mean high values of soil permeability.
50. WTDH_AVE: Watershed average high values of seasonally high water table (from STATSGO).
51. RDH_AVE: Watershed mean high values to depth to bedrock.

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